

Chinese Pharmaceutical Association
Institute of Materia Medica, Chinese Academy of Medical Sciences

### Acta Pharmaceutica Sinica B

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### **REVIEW**

# Emerging biotechnology applications in natural product and synthetic pharmaceutical analyses



Shilin Chen<sup>a,b,\*</sup>, Zheng Li<sup>c</sup>, Sanyin Zhang<sup>d</sup>, Yuxin Zhou<sup>a,b</sup>, Xiaohe Xiao<sup>e</sup>, Pengdi Cui<sup>c</sup>, Binjie Xu<sup>d</sup>, Qinghe Zhao<sup>b</sup>, Shasha Kong<sup>b</sup>, Yuntao Dai<sup>b,\*</sup>

Received 23 June 2022; received in revised form 2 August 2022; accepted 22 August 2022

### **KEY WORDS**

Biotechnology; Pharmaceutical analysis; Raw materials; Manufacturing control; Quality analysis Abstract Pharmaceutical analysis is a discipline based on chemical, physical, biological, and information technologies. At present, biotechnological analysis is a short branch in pharmaceutical analysis; however, bioanalysis is the basis and an important part of medicine. Biotechnological approaches can provide information on biological activity and even clinical efficacy and safety, which are important characteristics of drug quality. Because of their advantages in reflecting the overall biological effects or functions of drugs and providing visual and intuitive results, some biotechnological analysis methods have been gradually applied to pharmaceutical analysis from raw material to manufacturing and final product analysis, including DNA super-barcoding, DNA-based rapid detection, multiplex ligation-dependent probe amplification, hyperspectral imaging combined with artificial intelligence, 3D biologically printed organoids, omics-based artificial intelligence, microfluidic chips, organ-on-a-chip, signal transduction pathway-related reporter gene assays, and the zebrafish thrombosis model. The applications of these emerging biotechniques in pharmaceutical analysis have been discussed in this review.

E-mail addresses: slchen@icmm.ac.cn (Shilin Chen), ytdai@icmm.ac.cn (Yuntao Dai).

<sup>&</sup>lt;sup>a</sup>Institute of Herbgenomics, Chengdu University of Traditional Chinese Medicine, Chengdu 611137, China

<sup>&</sup>lt;sup>b</sup>Institute of Chinese Materia Medica, China Academy of Chinese Medical Sciences, Beijing 100700, China

<sup>&</sup>lt;sup>c</sup>State Key Laboratory of Component Traditional Chinese Medicine, College of Pharmaceutical Engineering of Traditional Chinese Medicine, Haihe Laboratory of Modern Chinese Medicine, Tianjin University of Traditional Chinese Medicine, Tianjin 301617, China

<sup>&</sup>lt;sup>d</sup>Innovative Institute of Chinese Medicine and Pharmacy, Chengdu University of Traditional Chinese Medicine, Chengdu 611137, China

<sup>&</sup>lt;sup>e</sup>China Military Institute of Chinese Medicine, Fifth Medical Center of Chinese PLA General Hospital, Beijing 100039, China

<sup>\*</sup>Corresponding authors.

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#### 1. Introduction

Pharmaceutical analysis is a discipline based on chemical, physical, biological, and information technologies. Chemical substances are the basis for drugs to exert their therapeutic effects, and chemical-based analytical methods were the first to receive attention. The physical properties of a drug (e.g., uniformity and crystal form) can also affect its quality and have thus received attention. Nevertheless, biotechnology and latest information technology have not received enough attention from pharmacists.

The role of biotechnology in pharmaceutical analysis is weak (Fig. 1A). The number of papers published in the last 15 years (2007–2022) included in the Web of Science was searched with the keywords "pharmaceutical analysis" combined with "chemical analysis" or "biological analysis". The number of papers published every five years are shown in Fig. 1B, indicating that chemical analysis still plays a dominant role in pharmaceutical analysis, whereas the role of biological analysis is limited. Although chemical and physical methods show high accuracy, sensitivity, throughput, and robustness, some of the detected index components do not represent the efficacy or effectiveness of natural products, which is related to the complex action mechanism of natural products with complex composition.

Biological analysis is the basis and an important part of life science, particularly medicine, and the development of biotechnology has advanced the field of medicine<sup>1</sup>. Because of their advantages in reflecting the overall biological effects, function, or action mechanism of drugs and providing visual and intuitive results, some biotechnologies have been gradually applied to pharmaceutical analysis (Fig. 2) from raw material to manufacturing and final products. Biological detection methods are the core evaluation techniques used to study the effectiveness, safety, and

quality of drugs<sup>2</sup>. In this review, the applications of these emerging biotechnologies in pharmaceutical analysis are summarized and discussed.

#### 2. Application of biotechnology for raw material analysis

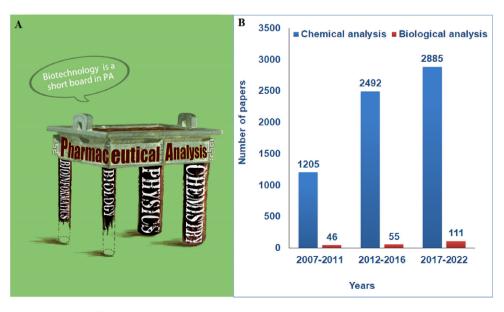
The current biotechnologies for the raw material analysis of animal and herbal medicine in the *Chinese Pharmacopoeia* (2020) are polymerase chain reaction (PCR) and polymerase chain reaction-restriction fragment length polymorphism (PCR-RFLP). Other novel and convenient biotechnological technologies<sup>3</sup> are being adopted in actual application and are discussed in Table 1 and Fig. 3.

### 2.1. Molecular technologies for identifying the authenticity of raw materials

With the continuous upgradation of sequencing technology and the continuous decline in sequencing cost, the genetic information of many species has been obtained, and different databases have been established<sup>24,25</sup>, which lays the foundation for the development of molecular identification technology and the breeding of new varieties<sup>26</sup>. Barcoding, molecular markers, and rapid detection are technologies based on DNA and can accurately identify raw materials.

### 2.1.1. Barcoding

Barcoding is widely used to identify plant and animal materials. It uses a standard and relatively short sequence for species identification and is not affected by ontological development stages. Single barcodes, such as internal transcribed spacer (ITS)/ITS2, trnH-psbA, MatK, RbcL, and COI, were first used to identify the authenticity of raw materials. ITS/ITS2 was used to distinguish



**Figure 1** Overview of current pharmaceutical analysis methods.

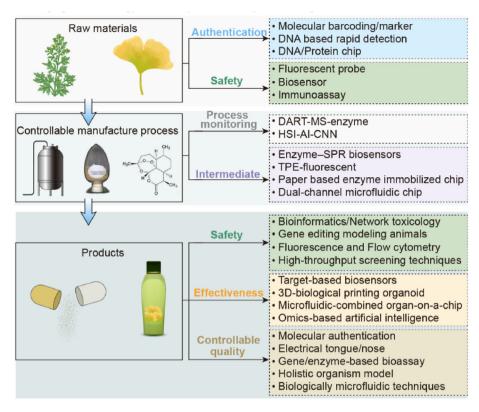


Figure 2 Emerging biotechnologies and bioinformatics for pharmaceutical analysis.

different species of Euphorbiaceae<sup>27</sup> and Apocynaceae<sup>28</sup> and identify cultivated varieties of *Paeonia lactiflora*<sup>4</sup>. *COI* can accurately identify snake medicine<sup>29</sup>. *ITS2* has high identification ability for ultrafine powder or cell wall-broken powder<sup>30</sup>. Two- and three-loci combinations were also used to identify the authenticity of raw materials. *matK* and *rbcL* were used to identify Arisaematis Rhizoma, Pinelliae Tuber, and common adulterants<sup>31</sup>. *matK* and *rbcL* combined with *trnH-psbA* are the ideal barcodes to discriminate Kaempferia and their adulterants<sup>32</sup>. However, these barcodes have limited mutation sites and low identification ability for related species. As a super-barcode with sufficient genetic variation, the chloroplast genome has been used to identify *Crataegus* and its related species<sup>5</sup>. In addition, the large single-copy region of chloroplast genome is used to identify *Dendrobium* species<sup>33</sup>.

#### 2.1.2. Molecular markers

Molecular markers reveal the arrangement rules of genes and the expression rules of phenotypic traits by analyzing DNA fragments with genetic information differences among organisms. They are not affected by the external environment, developmental stages, and differences among tissues and organs, and can detect various organs, tissues, and even cells of organisms in different developmental stages. These markers have the advantages of wide distribution, abundance, stable traits, convenient selection, simple operation, and rapid detection and have been widely used in the analysis and identification of herbal medicines. For example, insertion/deletion (InDel) markers distinguish different species by analyzing differences in gene insertion or deletion nucleotide fragments in the same position between related species or different individuals of the same species. Kim et al. accurately distinguished Zanthoxylum schinifolium and Zanthoxylum piperitum by the InDel analysis of psbZ-trnG. Simple sequence repeat (SSR) can be used to mark 2-6 bases of tandem repeats in genetic information, and this method could accurately distinguish multiple cultivars of plum varieties<sup>34</sup>. Inter-simple sequence repeat (ISSR) markers developed based on SSR can anchor 2–4 random bases at the 5' or 3' end of SSR as primers and anneal at a specific site. This method was used for the systematic classification and identification of Hedyotis diffusa<sup>35</sup>. Expressed sequence tags are molecular markers developed on expressed sequences and cDNA and can be used to distinguish species populations such as Ligusticum chuanxiong<sup>36</sup>. Single nucleotide polymorphism (SNP) is the DNA sequence polymorphism caused by single nucleotide variation and can be used to screen excellent varieties and identify species such as Panax ginseng<sup>37</sup>. In addition, many molecular markers are combined with PCR for species identification, such as sequence-related amplified polymorphism for identifying wild resources and cultivated varieties of *Platycodon grandiflorus*<sup>38</sup>, sequence-characterized amplified regions for distinguishing Angelica dahurica<sup>7</sup> and mistletoe species<sup>39</sup> from their related varieties, PCR-RFLP for identifying Ophiocordyceps sinensis and its powder samples, and random amplified polymorphic DNA for distinguishing Viscum coloratum<sup>40</sup>.

### 2.1.3. Rapid detection based on biotechnology

Most biological rapid detection techniques were developed from PCR amplification. High-resolution melting (HRM), multiplex PCR, and real-time PCR are commonly used to identify raw materials. HRM is a genotyping technique based on the formation of different morphological dissolution curves of double-stranded DNA at different dissolution temperatures. As a tool for species identification, HRM was used for plant origin identification. HRM was also combined with DNA barcoding and SSR

Technique	Sort	Characteristic	Application
Barcoding	DNA barcoding DNA super-barcoding	Not affected by individual development	Paeonia lactiflora <sup>4</sup> , Crataegus species <sup>5</sup>
Molecular marker	InDel, SNP, SSR, ISSR, ESTs, SRAP, RAPD, AFLP, PCR-RFLP	Wide distribution, large quantity, high conservation and high polymorphism	Zanthoxylum schinifolium <sup>6</sup> , Angelica dahurica <sup>7</sup>
Rapid detection technology based on biotechnology	HAD, LAMP, RPA, HRM, RT-qPCR	Simple, rapid, efficient, sensitive and specific	Pheretima aspergillum <sup>8</sup>
Chips	DNA chip, DArT, Protein chip, Microfluidic chip	Fast, highly efficient, automated, parallel, and economical	Chinemys reevesii <sup>9</sup> , heavy metal <sup>10</sup>
Probes	MLPA Fluorescent probe	High throughput, specificity, speed and simplicity	Fritillaria cirrhosa <sup>11</sup> , Hg <sup>2+12</sup>
Biosensor	Electrochemical sensor Enzyme biosensor Whole-cell biosensor Nanochannel sensor Molecularly imprinted sensors Immunosensors Fluorescent sensor Electronic nose	Fast, sensitive, stable, reliable, selective and reproducible	Crocus sativus <sup>13</sup> , Pb <sup>2+</sup> , Hg <sup>2+14</sup> , organophosphorus pesticides <sup>15</sup> , imidacloprid <sup>16</sup> , thiamethoxam <sup>17</sup> , glyphosate <sup>18</sup>
Immunoassay	Enzyme linked immunosorbent assay	Fast, sensitive, cheap	Imidacloprid <sup>19</sup>
	Fluorescent immunoassay	Sensitive, easy to operate	Acetochlor <sup>20</sup>
	Chemiluminescent immunoassay	Specific, fast, automatic	Parathion <sup>21</sup> , Cu <sup>2+22</sup>
	Gold immunochromatographic assay	Result visualization	Carbendazim <sup>23</sup>

to distinguish *Akebia quinata*, *Artemisia*, and *Glycyrrhiza uralensis*<sup>42,43</sup>. Multiplex PCR with two or more pairs of primers in the same reaction system can amplify different templates and obtain different target fragments. It was used to detect Longan Arillus, Litchi Semen, and *Nephelium lappaceum*<sup>44</sup>. Fluorescent groups or probes were added to the real-time quantitative PCR system for real-time monitoring of the whole PCR process by the accumulation of fluorescent signals. Zhang<sup>45</sup> identified donkey, horse, pig, and cattle and their hide gelatin by selecting the *COI* gene using TaqMan RT-PCR. Meanwhile, the dye quantitative RT-PCR method can be used to identify *P. ginseng* and *Fritillaria cirrhosa*.

Isothermal amplification can identify raw materials, does not depend on the PCR instrument, and can be used on site. In helicase-dependent amplification, the target fragment is melted to form a single chain under the action of a helicase. The singlechain binding protein is combined with DNA single chain, the target fragment is kept in a single-chain state, a primer is combined with the single-chain DNA to amplify the target fragment, and the amplified double-chain DNA is used as a substrate for the next amplification cycle. This method has been used for identifying the authenticity of *P. ginseng* and its preparations<sup>46</sup>. Loopmediated isothermal amplification (LAMP) hybridizes six to eight fragments of the target sequence with BstDNA polymerase and four to six pairs of specific primers under constant temperature. LAMP experimental results were judged by eye by changing the color of the experimental solution or using a turbidimeter. This method has been used for the authenticity identification of Pheretima aspergillum and Cordyceps sinensis<sup>8</sup>. Recombinase polymerase amplification (RPA) features recombinant protease and forward and reverse primers that form a complex under the action of auxiliary factors. The complex searches for and binds to a target site on a target sequence. Under the action of recombinase, primer, and single-chain binding protein, the target fragment is kept in a single-chain state. The amplification of the target sequence is then promoted by DNA polymerase. Finally, the experimental results were observed by real-time monitoring or electrophoresis with fluorescent probes. This method has been used to identify *Gelsemium elegans*<sup>47</sup> and is often combined with lateral flow test paper to quickly identify raw materials.

Molecular technology has been used for rapid and accurate identification of medicinal materials due to its rapid, trace, and strong specificity. However, these methods cannot identify the adulteration of non-pharmaceutical parts of medicinal materials and classify their quality grade.

### 2.2. Chips and probes to identify and detect raw materials

### 2.2.1. Chips

Chip technology has been used to detect the authenticity of raw materials, heavy metals, and pesticide residues. Gene chips integrate oligonucleotide or cDNA in high density according to the preset nucleic acid molecule hybridization derivative array and then fix it on the surface of the support carrier. After the carrier surface hybridizes with the probe, the biological signal is captured by a special device comprising semiconductor sensors, and the content is recorded synchronously and sent back to the computer for analysis. Finally, the gene function and gene phenotype characteristics of the carrier sample can be obtained. Gene chips can distinguish Bupleurum chinense from different sources to ensure its authenticity<sup>48</sup>. Diversity arrays technology was used to distinguish Eucalyptus grandis from related species. The protein chip sequentially immobilizes some known proteins on a carrier, specifically interacts with known molecules according to their molecular characteristics, and performs purification and subsequent processing to quickly and accurately screen the protein component. This method can be used for identifying Chinemys reevesii and Pheretima aspergillum<sup>9</sup>.

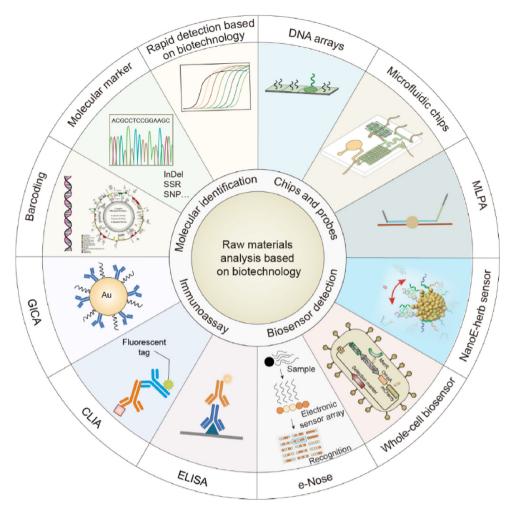


Figure 3 Biotechnological methods to detect raw materials.

Microfluidic chips can manipulate fluids in micro- and nanoscale spaces and miniaturize the basic functions of sample preparation, reaction, separation, and detection onto a portable microchip for the flexible combination and scale integration of multiple cell technologies on a controllable microplatform. Detection techniques using this tool, such as electrochemical microfluidics<sup>49</sup>, can detect heavy metals and pesticides to control the safety of raw materials<sup>10</sup>. Chip technology has the advantages of short detection cycle and high efficiency. However, chip technology still has shortcomings such as high false positives, requiring simplified preparation, labeling of test samples, and high cost of gene chip application.

### 2.2.2. Probes

Multiplex ligation-dependent probe amplification (MLPA) detects differences in gene copy number in DNA methylation, and single base variation by four steps: target DNA denaturation, probe hybridization, ligase linking of left and right probes, and PCR amplification. MLPA designed for *ITS1* could realize the identification and quantitative analysis of *Fritillariae cirrhosae* and its common counterfeits<sup>11</sup>. The fluorescent probe is connected to the recognition group to form small molecules, which can stably emit fluorescence after being electrified. In addition, the fluorescent probe can be observed and quantified by a fluorescence index meter. The method can be used for sensitively detecting Hg<sup>2+</sup> in samples<sup>12</sup>. The probe technology has the characteristics of high

sensitivity and strong specificity. However, the difficulty in probe design and the high cost of labeling have become limiting factors in their application. Therefore, developing a simple and convenient probe detection method is necessary.

#### 2.3. Biosensors for raw material detection

Biosensors use active substances, such as enzymes and microorganisms, as sensitive materials for recognition and convert biological information to electrical signals with high sensitivity, accuracy, and stability in real time. This technology has been used to detect the authenticity of raw materials, heavy metals, and pesticide residues. Shi designed a sequencing-free electrochemical herb sensor based on the ITS2 of Crocus sativus to identify the plant and its counterfeits<sup>13</sup>. Lei explored an efficient and simple electrochemical sensor to prepare carbon-supported X-manganate for the detection of Pb<sup>2+</sup> and Hg<sup>2+14</sup>. Enzyme biosensors can calculate the pesticide residue level in a sample by measuring the degree to which the enzyme activity (fixed on the electrode surface) is inhibited by pesticides. Hana Fourou fixed  $\beta$ -galactosidase from Aspergillus oryzae on the electrochemical sensor to detect Cr4+ and Cd2+50. Enzyme biosensors based on cholinesterase enzymes, organophosphorus hydrolase, and organophosphorus acid anhydrolase were used to detect organophosphorus pesticides<sup>15</sup>. Whole-cell biosensors are based on microorganisms. Kim modified Escherichia coli strains to contain copA, zntA, and mer

promoters and inserted the luciferase (lux) gene as the reporter gene into the plasmid to respond to the induction of copper, cadmium, and mercury, respectively, to detect heavy metals<sup>51</sup>. Tu designed a nanochannel sensor made of Pb<sup>2+</sup>-specific peptide modified porous anodized aluminum membrane to detect lead ions in complex traditional Chinese medicine (TCM) samples<sup>52</sup>. Pesticide residues of imidacloprid<sup>16</sup> and thiamethoxam<sup>17</sup> are often detected by molecularly imprinted sensors. Immunosensors are a biological sensing device based on affinity that combine immunochemical reactions with an appropriate sensor. Glyphosate, atrazine, and parathion can be detected by immunosensors<sup>18</sup>. The electronic nose can analyze trace odor substances, compare odor similarities in samples, and establish a corresponding database by pre-collecting standard samples for the prediction and evaluation of unknown samples. It is used for the identification of volatile constituents and pesticide residues<sup>53</sup>.

#### 2.4. Immunoassay to detect harmful substances in raw materials

Immunoassay is based on specific antigen-antibody reaction and uses a detection method for antigen and labeled antigen competitive binding antibody. Immunoassay techniques used to detect harmful substances include enzyme-linked immunosorbent assay (ELISA), fluorescent immunoassay (FIA), chemiluminescent immunoassay (CLIA), and gold immunochromatographic assay (GICA). ELISA uses enzymes as tracers to mark antigens or antibodies, and the enzyme catalyzes the substrate to develop color or emit light to establish the relationship between the developed color degree of the system and the content of the substance to be tested. Ethylene thiourea<sup>54</sup>, neonicotinoid<sup>55</sup>, and imidacloprid<sup>19</sup> were tested using ELISA. FIA uses fluorescein as a tracer to label antibodies or antigens and combines the specificity of immunological reactions with the sensitivity of fluorescence techniques. Acetochlor, metolachlor, and propisochlor were detected using this technology<sup>20</sup>. CLIA directly labels a luminescent substance of an excited state intermediate generated under excitation of a reactant on an antigen or an antibody and combines high-sensitivity chemiluminescence determination with highspecificity immune reaction. Parathion, methyl parathion, fenitrothion $^{21}$ , and  $\text{Cu}^{2+22}$  were detected by this method. GICA uses colloidal gold as the tracer marker. Gold particles have high electron density, and black-brown particles at the binding site of gold-labeled protein can be observed under the microscope. When these markers accumulate in large amounts at the corresponding ligands, red or pink spots can be visualized with the naked eye. This technology is often used in combination with lateral flow strips (LFS) to detect pesticide residues such as carbendazim<sup>23</sup>. In addition, colloidal silver-based lateral flow immunoassay is used to detect profenofos<sup>56</sup>.

Immunoassay is the most widely used method to detect pesticide residues. However, preparing pesticide antibodies is difficult and the development cost is high. It is only suitable to detect pesticide residues in a single product and not for multiresidue analysis. Therefore, establishing a high-throughput immunoassay technique is particularly important.

# 3. Application of biotechnology in pharmaceutical manufacturing control

Conventional pharmaceutical manufacturing is usually accomplished using batch processing with laboratory testing conducted on collected samples to evaluate quality. This conventional approach has been successful in providing quality medicine to some extent. Although the quality of newly introduced products has increased, concerns over pharmaceutical product quality persist due to unacceptably high product recalls. Natural products are well-known for their complex multi-compound, multi-ingredient formulation and preparations<sup>57</sup>, and large variation in the quality of products from different manufacturers or different batches by the same manufacturer. Thus, process monitoring in natural product manufacturing has been a challenging topic.

Process analysis technology (PAT) was proposed as a system for designing, analyzing, and controlling manufacturing by timely measurement (i.e., during processing) of critical quality and performance attributes of raw and in-process materials and processes to ensure the final product quality. The term "analysis" in PAT is broadly viewed to include chemical, physical, and biopharmaceutical characteristics in an integrated manner. However, most quality control techniques in pharmaceutical production focus on the analysis of physicochemical properties. Given that natural products are complex mixtures, this chemical complexity brings great difficulty for current chromatographic and spectroscopic techniques based on the chemical approach to detect all their components<sup>58</sup>. In addition, chemical information lacks enough evidence to ensure the clinical safety and efficacy of drugs because the correlation between chemical information provided by the chemical approach and overall in vivo activity has not been justified<sup>59</sup>. Many substances, particularly certain biological active ingredients and bio-pollutants, cannot be detected by chromatographic methods because of the lack of absorption in spectra. Biotechnology has been used to control the quality of natural products and synthetic pharmaceuticals. Although biotechnological approaches cannot provide chemical information (chemical components), they have the advantage of offering information on the direct bioactivity and even clinical safety and efficacy of biological products<sup>60</sup>. The application of biotechnology in pharmaceutical manufacturing control is shown in Fig. 4 and Table 2.

### 3.1. Process monitoring

# 3.1.1. Biological and chemical coupling for pharmaceutical processes

Currently, only a few bioassays have met the assay requirements of multiplexing, sensitivity, and speed. Detection approaches with multiplexing capabilities are highly valued for natural products because of their multi-component and multi-target characteristics. Thus, biological assays that can be integrated with chemical analyses are being explored. Such integration capability can enable parallel chemical and biological analyses on the same analytical platform, thereby reducing instrument cost and variations between instruments.

Recent advances in ambient ionization technology have opened up the opportunity of using mass spectrometry (MS) to be an innovative PAT tool in natural product manufacturing<sup>75</sup>. Li et al.<sup>61</sup> proposed an on-demand strategy based on direct analysis in real time-mass spectrometry (DARTMS), which uses an MS probe as the substrate of the enzyme to determine the biological activity of selected thrombin and angiotensin-converting enzyme (ACE). Each probe consists of a specific peptide sequence and 1-(2-pyrimidyl) piperazine as a label with high ionization efficiency. The strategy achieved the simultaneous determination of the chemical components and biological activities of Danshen (*Salvia miltiorrhiza*) injection. The detection results showed that the platform can achieve multi-dimensional drug quality evaluation under real-time monitoring.

### 3.1.2. Biological soft sensing for quality control in pharmaceutical processes

The heterogeneous nature of natural products causes variability in raw materials and drug products, leading to uncertainty in their therapeutic consistency. Therefore, multi-dimensional quality control is essential to ensure the safety and efficacy of these drug products. Using different tools for the quality analysis of different dimensions results not only in high costs but also in variations between instruments. Soft sensing is a new and popular method in the field of monitoring <sup>76</sup>. This technology realizes the real-time estimation of the quality or activity that is difficult to directly measure by easily detectable indicators and the corresponding mathematical model. Therefore, soft sensing shows good performance on detecting difficult-to-measure quality indicators in pharmaceutical and other industrial processes.

Taking Shuxuening injection as an example, Zhong et al. 73 used hyperspectral imaging (HSI) combined with artificial intelligence (AI) as a biological soft sensing technology to simultaneously monitor physical properties, chemical components, and biological activities of the injection in a non-destructive manner. The detection of chemical components in drugs often requires analytical equipment such as high-performance liquid chromatography (HPLC). Antioxidant and anticoagulant activities require the use of DPPH-free radical scavenging assay and Z-Gly-Gly-Arg-AMC acetate probe substrate. The chromaticity and visible particles of the injection are detected using image recognition algorithms. The HSI-AI method predicted the five quality indicators of total flavonol, total ginkgolides, antioxidant activity, anticoagulant activity, and visible particles. Thus, the platform can be used for the process monitoring of multiple quality characteristics in pharmaceutical production.

Biological soft-sensing techniques combined with HSI can simultaneously acquire multiple quality attributes of a sample under non-destructive conditions. It is worth noting that soft measurement is an indirect measurement technique. Therefore, standard methods must be used to detect the quality indicators of the samples in advance, and then the correlation model between the sample quality and the HSI data must be established. The upper limit of the accuracy of the soft measurement is the measurement accuracy of the standard method.

### 3.2. Intermediate product analysis

In the pharmaceutical process, differences in natural products and the fluctuation of operating parameters in each process unit may lead to the quality difference in intermediates between batches and affect the quality of the final product. Therefore, the intermediates produced in the process must be subjected to quality control.

### 3.2.1. Biological and chemical coupling for intermediate product analysis

The diverse sources and production environments of natural products lead to uncertainty about pharmaceutical products. All biological and chemical active ingredients that may affect the quality and effectiveness of medicines are comprehensively and accurately detected.

The main material basis of Shuxuening injection (SI) is its antioxidant effect. Therefore, the qualitative and quantitative analyses of antioxidants in its pharmaceutical process is of importance to ensure its quality. Ma et al. 62 established an ABTS+ (2,2-azinobis-(3-ethyl benzothiazoline-6-sulfonic acid))-CE online analytical method for the monitoring of various antioxidants in the preparation of injections. ABTS+ was first integrated into the capillary and used for the simultaneous determination and separation of major antioxidants in the injection. The experimental results were verified by SI and showed that the strategy is a simple, reliable, and effective tool for the quantitative evaluation of drugs.

Some markers cannot comprehensively evaluate TCM preparations containing multiple components, thus neglecting the

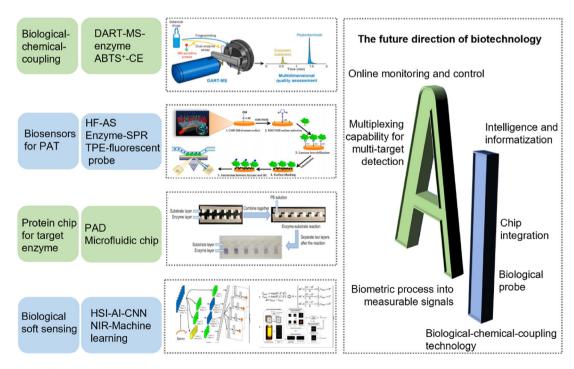


Figure 4 Biotechnologies in pharmaceutical manufacturing process control and intermediate analysis.

Category	Technique	Response	Application	Ref.
Biological-chemical-coupling technology	DART-MS-enzyme	Chemical components and biological activities	Danshen injection	61
	ABTS $\pm$ CE	Major antioxidants in injection	Shuxuening injection	62
	Metabolomics- chemometrics	Bioactive-chemical quality marker combination	Pollen of <i>T. orientalis</i>	63
Biosensors for PAT	Enzyme based SPR	Acceptable therapeutic monitoring	Bromocriptine	64
	HF-AS	Lipase-binding ligands	Lotus leaf extracts	65
	TPE-fluorescent probe	Monitor ACE activity	Tongmaiyangxin pill	66
	FRET fluorescent acceptor	Patulin and zearalenone	Extracts of TCM	67
	Aptamer biosensor	Rapid detection of pathogenic <i>E. coli</i>	Licorice extracts	68
Protein chip for target enzyme	PAD	Hypoglycemic and xanthine oxidase inhibitory activity	Mulberry, lotus and Salvia miltiorrhiza extracts	69-7
	Dual-channel microfluidic chip	Multiple biomarker assay	Qishen Yiqi Pill	72
Biological soft sensing technology	HSI-AI-CNN	Physical, chemical and biological properties	Shuxuening injection	73
	NIR-machine learning	Chemical compounds and anti-inflammatory activity	Chrysanthemum extracts	74

inherent chemical complexity and multiple mechanisms of their pharmacological activity. Wang et al.<sup>63</sup> proposed a strategy for screening *Typha orientalis* quality using bioactive chemical comprehensive markers based on thrombin and a combination of metabolomics and chemometrics. This research provides ideas for creating methods to simultaneously evaluate the biological and chemical properties of drugs.

### 3.2.2. Biosensors for intermediate product analysis

Biosensors are small integrated analytical devices consisting of biological components in close contact with physical sensors that convert the biometric process to measurable signals. They provide specific quantitative or semi-quantitative analytical information using biometric elements that convert information from the biological domain into chemical or physical output signals <sup>77–79</sup>.

A study used a label-free biosensor based on surface plasmon resonance (SPR) technology to detect bromocriptine<sup>64</sup>. First, candidate enzymes for the bromocriptine biosensor were screened by protein ligand docking simulation and competitive inhibition experiment to verify the accuracy and reliability of the results. This enzyme SPR method can realize the rapid, real-time, and label-free monitoring of drugs. The results showed that the combination of SPR technology and different enzymes could monitor various drugs. In another study, hollow fiber-based affinity selection was proposed to detect inhibitors from medicinal plant extracts<sup>65</sup>. First, lipase from porcine pancreas was adsorbed onto the surface of polypropylene hollow fibers to form a stable ligandharvesting matrix. Various factors related to binding capacity, including enzyme concentration, incubation time, and temperature, were then optimized using the known lipase. The proposed method can be used to detect lipase-binding ligands in lotus leaf extracts. As a typical aggregation-induced emission-based fluorophore, tetraphenylethylene (TPE) has a range of applications in biosensors and cell imaging. Wang et al. 66 synthesized TPE-based fluorescent probes by two processes. One is TPE with the carboxylate group synthesized by the McMurray reaction, and the other is TPE-SDKP prepared by standard solid-phase synthesis. This probe can be used to monitor ACE activity and determine the efficiency of ACE inhibitors in extracts.

Aptamers are single-stranded oligonucleotide molecules screened by ligand evolution using an exponential enrichment system with the advantages of good stability, strong specificity, *in vitro* synthesis, low cost, and easy modification. They serve as an alternative target recognition element for antibodies in biosensors and can overcome the high cost of antibody-based ELISA.

Different aptamer-based sensing platforms have been developed to detect cytotoxins and contaminants in food and pharmaceuticals  $^{80-89}$ . Because of their great damage to human health, cytotoxin and other pollutants must be strictly detected in the pharmaceutical process. A recent study used fluorescent acceptors and achieved the simultaneous detection of patulin (PAT) and zearalenone (ZEN) $^{67}$ . In this study, the aptamers of PAT and ZEN were labeled with FAM and CY3, respectively, as fluorescent probes. Both aptamers were adsorbed on the surface of graphene oxide (GO) by  $\pi$ - $\pi$  stacking, resulting in fluorescence resonance energy transfer between the fluorophore and GO. Therefore, this detection platform has good selectivity and reliability in the detection of TCM samples.

The damage caused by pathogenic *E. coli* to human health has attracted increasing attention<sup>68</sup>. A recent study used an aptamer-based electrochemical biosensor in licorice extracts to rapidly detect pathogenic *E. coli*<sup>90</sup>. To enhance the interaction between aptamer and *E. coli*, the sulfuration signal was first captured, followed by biotinylation of *E. coli*. A portion of the biotinylated aptamer was detached from the capture probe in the presence of *E.* 

coli. Residual biotinylated aptamer probes quantitatively bound to streptavidin-alkaline phosphatase. The results showed that the designed biosensor can be used to rapidly detect microbes in TCM and related fields.

Biosensors have the advantages of strong specificity, fast analysis speed, and high accuracy. Biosensors can be integrated with computers to automatically collect and process data, provide more scientific and accurate results, and form an automated detection system. At the same time, chip technology is increasingly combined with sensors to realize the potential of integrated detection systems.

### 3.2.3. Protein chip for intermediate product analysis

Paper-based microarrays are analytical devices made of paper that have been rapidly developed in recent years<sup>91–94</sup>. They are low cost, easy to use, and can perform multiple chemical analyses. In addition, these paper devices have a high specific surface area that allows molecules to easily bind and adsorb proteins. Used paper devices can be incinerated to reduce the pollution caused by experimental consumables. The white paper provides an ideal background signal for observing colorimetric reactions.

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Biomarkers have been used as drug quality indicators to evaluate the biological parameters of formulations. A high-quality biomarker should reflect the mechanism of action of the drug and be clinically relevant. In one study, a chip-based method was proposed to evaluate the bioconsistency of natural products using target enzymes thrombin and ACEs as quality biomarkers. A dualchannel microfluidic chip was designed to perform bioassays<sup>72</sup>, where enzyme complex formation occurs in one channel and the subsequent enzymatic reaction occurs in the other channel. Magnetic beads serve as both an efficient surface for immobilizing enzymes and a controllable solid support for enhanced on-chip mixing and transport. Enzyme activity is used to indicate drug quality (or potency). In Qishen Yiqi Pills, different batches of intermediates and preparations were tested, and the results showed that the bioassay had better discriminatory power for abnormal samples than chromatographic fingerprinting.

# 3.2.4. Biological soft sensing technology for intermediate product analysis

Most researchers have focused on the relationship between chromatography/mass spectrometry and biological activity of natural products, whereas the relationship between spectrum and activity has often been ignored.

Near-infrared reflectance spectroscopy (NIRS) was used to detect the anti-inflammatory activity of chrysanthemum extracts<sup>74</sup>. This work used NIRS combined with anti-inflammatory activity data. A complex relationship between Q-markers and overall anti-inflammatory activity was established by introducing backpropagation-artificial neural network. Thus, the comprehensive approach based on NIRS can quantify multiple compounds and predict overall biological activity for drug quality control. However, network toxicology or even bioinformatics alone can make the prediction, and the results should be verified by further experiments.

# 4. Application of biotechnology in pharmaceutical products analysis

### 4.1. Safety analysis

Efficacy and safety are two aspects of the properties of a drug when considering its use in disease treatment. It is necessary to consider the adverse reaction (including toxicity and side effects) of drugs when they are used. Toxicity evaluation usually comprises analyses of drug metabolic distributions, physicochemical properties, and pharmacokinetic characteristics such as genotoxicity target and organ toxicity. New drugs (including natural products and synthetic pharmaceuticals) have emerged, with rising safety problems. Traditional drug safety analysis techniques and methods can no longer meet growing needs, which requires an establishment of fast and accurate drug safety analysis techniques. Here, the progress of biological techniques used in the analysis of drug safety is summarized (Fig. 5).

### 4.1.1. Drug toxicity prediction based on bioinformatics

The concept of network toxicology is an important method for biopharmaceutical research developed by network pharm-acology<sup>95</sup>. Network toxicology of TCM refers to the study of the toxicological characteristics of a network model and analyzes the interaction and regulation of toxic materials in biological systems by the established network model, which plays an important role in predicting TCM toxic components 95,96. Network toxicology refers to the description of the toxicological characteristics of drugs by constructing a specific network model to analyze and predict drug toxicity, thereby elucidating the toxic side effects of the drug on the human body and predicting the toxic components of natural products. Network toxicity is usually combined with other methods to detect drug toxicity. Network toxicity based on metabolomics has been used in toxicity mechanism analysis of TCMs<sup>97</sup>. For instance, the integration of toxicology networks and metabolomics was used to detect the metabolites in rat liver induced by esculentoside A, a triterpenoid saponin from *Phytolacca acinosa*<sup>98</sup>. However, network toxicology or even bioinformatiocs only can make the prediction, and the results should be verified by further experiments.

#### 4.1.2. Drug toxicity analysis based on whole animal

Animal models are used to assess and avoid risk to humans from exposure to potential hazards. The pharmacopoeia suggests that drugs be tested by comparing with digitalis minimum lethality in pigeon<sup>99</sup>. Traditional animals, such as rats and mice, are commonly used animal models for drug toxicity analysis. However, the

experimental animal models used in preclinical studies poorly predict drug metabolism and potential toxicity.

4.1.2.1. Transgenic animal analysis techniques. Transgenic or gene editing mice expressing labeled proteins and optical biosensors show potential for the analysis of disease etiology, pathogenesis, and the rapid large-scale screening of drug toxicity in preclinical studies<sup>100</sup>. The generation of available transgenic mouse models for long-term tracking of organelles, cells, or tissues is essential in drug toxicity analysis. Transgenic mice, such as human leukocyte antigen (HLA) mice lines and human liver chimeric mouse models, have rapidly developed over the past decade<sup>101,102</sup>. Abacavir, a human immunodeficiency virus reverse transcriptase inhibitor, and its drug reactions are highly associated with HLA alleles. Abacavir-induced liver injury was usually observed when HLA mice were treated with CpG-oligodeoxynucleotides<sup>103</sup>.

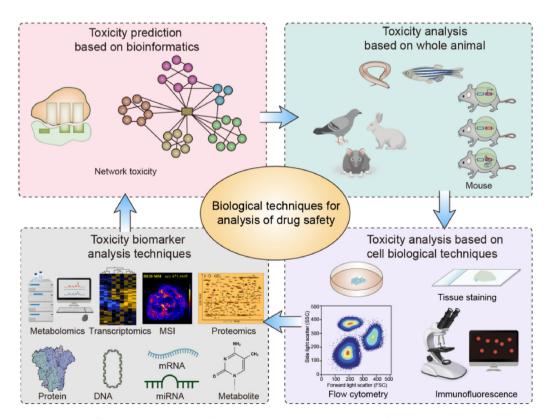
Transgenic or gene-edited mice promote drug toxicity analysis, but this analysis requires huge workload and incurs high costs. Mouse models occasionally cannot meet the requirements of drug phenotypic screening. For example, thalidomide, which can induce birth defects in unborn children, does not cause any defects in mice <sup>104</sup>. For more comprehensive and cost-effective evaluation of drug safety, some new models have been established and more are emerging.

4.1.2.2. Disease animal model analysis techniques. Traditional animal models such as rats and mice involve high cost and long life. Therefore, alternatives to mammals for toxicology studies are warranted. With its ease of husbandry, high fecundity, small size, rapid production, development, and transparency, the young zebrafish (*Danio rerio*) shows potential as a model

organism for detecting drug toxicity and toxicity mechanisms *in vivo*. The ability of *in vivo* screening coupled with the relevance of phenotypes to human diseases has contributed to the emergence of zebrafish as a leading model organism for whole-animal drug toxicity <sup>105,106</sup>. Fructus Psoraleae, the seed of *Psoralea corylifolia*, shows hepatotoxicity, and its potential hepatotoxic mechanisms were investigated in a zebrafish model <sup>107</sup>. The cardiotoxicity of four typical macrolides—azithromycin, clarithromycin, tilmicosin, and tylosin—was studied in zebrafish embryos <sup>108</sup>. The brain mitochondrial toxicity of *Bacopa monnieri* (Brahmi), traditionally used as a nootropic agent, was also analyzed in zebrafish <sup>109</sup>.

Caenorhabditis elegans is a small, non-pathogenic nematode with a short lifespan. Low-cost maintenance, easy cultivation, and efficient reproduction make this nematode suitable for rapid developmental toxicity testing. C. elegans assays were first used as a biological model and in environmental and chemical toxicity to obtain data from a whole animal with active digestive, reproductive, sensory, and neuromuscular systems 110,111. Nowadays, this model is used in drug toxicity analysis, such as cisplatin 112 and aesculin, a coumarin compound extracted from TCM Qinpi (Cortex Fraxini) 113.

4.1.3. Drug toxicity analysis based on cell biological techniques Cell morphology is altered or damaged by drug toxicity, which are phenotypically categorized based on morphological characteristics. Tissue staining has been used for pathological screening in traditional toxicological analyses, but it cannot be used to observe organelle (i.e., endoplasmic reticulum, cytoskeleton) damage or reveal the mechanisms of endogenous molecular regulation caused by toxic drugs<sup>114</sup>. Although the tissue staining experiment is still required to detect drug toxicity, more cell biological techniques have been used.



**Figure 5** Overview of biological techniques used in drug safety evaluation.

Immunofluorescence is an important technique used in the diagnosis of many infectious diseases by detecting and localizing the antigens in different tissues and cells<sup>115</sup>. A near-infrared fluorescent probe was reported to evaluate hydrazide-induced liver injury by detecting the N<sub>2</sub>H<sub>4</sub> level<sup>116</sup>. Flow cytometry is used for counting, analyzing, and sorting cells in a cell suspension by their light-absorbing or fluorescing properties. It permits the assessment of physical (cell size, shape, and internal complexity), chemical (expression of proteins and other molecules), and biological attributes of single cells in the suspension<sup>117</sup>. Flow cytometry techniques can be used for measuring the morphological effects of both natural products 118 and synthetic pharmaceuticals. It is usually used to analyze cytotoxicity induced or repressed by drugs. For instance, ginsenoside Re inhibited rotinduced cytotoxicity in SH-SY5Y cells in Drosophila could be measured by flow cytometry 119.

Cell biological techniques are the fastest and most convenient analysis techniques of drug safety, but they are also limited by cell lines and states. Sometimes, cells are easily contaminated; thus, a sterile environment is required.

### 4.1.4. Drug toxicity biomarker analysis based on highthroughput techniques

The formal qualification of safety biomarkers is a milestone in the application of biomarkers to drug development. Biomarkers significantly contribute to new drug development because they facilitate drug toxicity assessment. With the development of high-throughput sequencing technologies or omics, drug toxicity analysis is promoted, especially to identify biomarkers.

Toxicogenomics is a branch of toxicology that applies several genomic analysis techniques to determine how chemicals, both environmental and pharmaceutical agents, react on human and ecological health<sup>120</sup>. It includes the identification of organisms associated with disease and toxic biomarkers. Equipped with innovative technologies from genomics and high-throughput methodologies, toxicogenomics provides an unprecedented opportunity for improved risk assessment and regulatory decision making.

The ability to screen numerous molecules (e.g., metabolites, proteins, or DNA) allows the identification of toxicity biomarkers, which may be used to enhance drug safety assessments and disease diagnostics. Different from measuring a single molecule at one time, high-throughput profiling simultaneously screens thousands of molecular changes. Transcriptomics or gene expression profiling measures the changes in transcript levels and reflects gene expression regulation at transcriptional and posttranscriptional levels. Vine tea is made from the tender leaves of Ampelopsis grossedentata, and its chemical composition is mainly flavonoids and polyphenolic substances with dose-related hepatotoxicity. Transcriptomic profiling revealed 34 potential toxic components and 57 potential hepatotoxic targets in vine tea<sup>121</sup>. Meanwhile, proteomic profiling measures the changes in protein levels and reflects the regulation of gene expression, protein translation, and post-translational protein stability 122. The toxicities of Herba Lysimachiae (Jinqiancao), which is usually used to treat rheumatic arthralgia, were analyzed by proteomic profiling 123. With DARTs-based proteomics, the potential toxic target of psoralen, a major hepatotoxic and blood entry component in Fructus Psoraleae, was identified<sup>124</sup>. Metabolomic profiles determine molecules acting as intermediates and metabolic products and identify hormones and other signaling molecules in processes<sup>125</sup>. many biological Heshouwu (Polygonum multiflorum), a famous traditional Chinese herb, is associated with significant liver injury <sup>126</sup>. Toxic components related to the druginduced liver injury of Heshouwu and specific biomarkers were investigated using the UHPLC—MS-based metabolic approach <sup>127</sup>. Anthraquinone, which belongs to polycyclic aromatic hydrocarbons, is the main active component in *Cassiae semen* (Juemingzi) and shows toxic reactions. The established urinary metabolomics approach allowed the determination of aurantio-obtusin biomarkers for the early diagnosis of its toxicity <sup>128</sup>. Thus, the biomolecular coverage provided by omics profiling can be used to improve the performance of traditional toxicity prediction methods.

#### 4.2. Effectiveness analysis

Early and effective pharmaceutical analysis methods are of great significance in the biotechnology and pharmaceutical industries. The purpose of drug quality evaluation and control is to ensure clinical efficacy and safety. Although the quality standardization of natural medicines and synthetic drugs has made significant progress in biochemical research <sup>129</sup>, its guiding or supporting role in the rationalization of clinical medication and improvement of clinical efficacy needs to be studied further.

Bioassays have become one of the most important development directions of drug quality standardization because of their technical advantages of efficacy-related properties and overall control <sup>130</sup> To evaluate the efficacy-related biological effects expressed by test drugs acting on the biological model (whole animals, isolated organs and tissues, cells, biology-related factors, and enzymes) under specific conditions, bioassays have been used for the qualitative or quantitative evaluation of drugs <sup>131–133</sup> (Fig. 6).

Several related studies have been performed in the effectiveness analysis of both natural medicines and synthetic drugs. For instance, the rat bile duct ligation model was established to evaluate the anti-hepatic fibrosis effect 134, the NRLP3 inflammasome activation model was constructed to determine the anti-inflammatory function 135, and thrombin activity was used to assess the anticoagulant response 136 of drugs. Many promising cutting-edge techniques, such as biosensors, organ-on-a-chip (OOAC), and 3DP, have been developed for pharmaceutical analysis (Fig. 6). By using these biotechniques, bioassays can be more efficiently and accurately developed for pharmaceutical analysis.

### 4.2.1. Target-based biosensors for effectiveness analysis

Biosensors are bioanalytical devices developed by integrating electronic techniques and biological molecules or systems. They hold promise in the analysis of various drugs because of their high sensitivity and low cost <sup>137</sup>. The discovery of taste type II receptor (TAS2R) agonists is valuable for treating asthma, but the development of a fast screening method to identify TAS2R agonists is challenging. Inspired by the advantages of the high-electronmobility transistor (HEMT) sensor, Wang et al. 144 developed a virtual and affinity screening strategy based on the combination of a HEMT biosensor with UPLC-MS analysis to screen TAS2R14 agonists from Platycodon grandiflorum. They obtained six potential TAS2R14 agonists, with platycodin L as a special TAS2R14 agonist. This study provided a strong reference for the direct screening of agonists or inhibitor classes of drugs from complex natural medicines<sup>138</sup>. In addition, using the ligandinduced conformational changes in G protein-coupled receptors (GPCRs) as the basis, Dong et al. 139 designed a 5-HT2AR-based

fluorescent biosensor termed psychLight to detect endogenous serotonin release. This sensor identified previously unknown hallucinogenic drugs and a non-hallucinogenic psychedelic analog with neural plasticity-promoting and antidepressant properties. This study provided insights into the early identification of designer drugs of abuse and the development of 5-HT2ARdependent non-hallucinogenic therapeutics. Given the critical selectivity of GPCRs on ligands, such biosensors can be used to monitor the active components and their holistic potency of natural products in a target-based way, namely, target-based drug potency. Therefore, biosensors can be used to analyze and assess the quality of natural products with multiple components 140. Because of the direct association of dopamine and uric acid in human blood serum with human emotional and physical health, the simultaneous detection of both chemicals is important for the early diagnosis and treatment of related diseases. Gong et al. 141 developed a low-cost high-entropy porous CrO/CrN/C biosensor for simultaneously determining dopamine and uric acid in human serum samples. The high-density distribution of catalytic CrO/ CrN nanoparticles in porous Cr-JFC/DAC2 composite provided the high surface, abundant crystal interface, and excellent conductive properties of the Cr-JFC/DAC2/Nafion/GCE biosensor. Under optimum conditions, the biosensor could simultaneously detect dopamine and uric acid in the range 0.05-4 µmol/L with limits of detection of 10.65 and 17.54 nmol/L, respectively. Chen et al. 142 designed a cotton thread-based multi-channel photothermal biosensor for the simultaneous detection of three breast cancer-related miRNAs, namely, miRNA-10b, miRNA-27a, and miRNA-let-7a. The biosensor has high specificity and sensitivity with the detection limits of 37, 38, and 38 pmol/L for the three miRNAs. In addition, its application in cell lysates indicated the excellent capacity of the developed multi-channel photothermal biosensor for the practical analysis of multiple targets.

### 4.2.2. 3D biological printing of organoids for personalized pharmaceutical analysis

As a 3D cell culture, the organoid is a physiologically relevant model for basic and clinical applications and presents a selforganizing, self-renewing, and more physiologically relevant model than conventional 2D cell cultures, thus opening new avenues for drug testing and the development of therapeutic

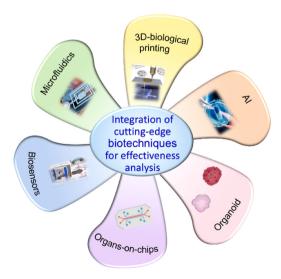


Figure 6 Bioassays in effectiveness analysis.

approaches in a pre-clinical setting<sup>143</sup>. Patient-derived organoids exhibit several advantages as personalized tumor models and can be established in a short period with low cost for the identification and testing of new anticancer drugs. Schuster et al.<sup>144</sup> developed an automated microfluidic organoid culture platform for dynamic and combinatorial drug screening, thus enabling the highly reproducible, dynamic, and robust analyses of organoids with potential to facilitate treatment decisions for personalized therapy.

As one of the most progressive innovations in pharmaceutical science, 3DP has become a revolutionary and powerful tool in the precise manufacturing of individually developed dosage forms, tissue engineering, pharmaceutical analysis, and disease modeling <sup>145</sup>. It allows a fast, efficient, and economical production of customized drug carriers with desirable shape, size, and structure from 3D computer data to provide personalized medication, various drug combinations, and complex drug release profiles. Diverse 3DP technologies include binder jetting, fused deposition modeling, pressure-assisted microsyringe, inkjet printing, vat photopolymerization, and selective laser sintering, which have been developed in drug and medicine research 146 Using acrylic acid (AA) as a monomer, poly (ethylene glycol) dimethacrylate as a difunctional crosslinker, and acrylated hyperbranched polyester (AHBPE) as a multifunctional crosslinker, Chen et al. 147 produced 5-fluorouracil-loaded tablets using digital light processing 3DP. They found that the printing time and drug release increased with AA content but decreased with increasing AHBPE content. In addition, they suggested the use of AHBPE as a crosslinker in vat photopolymerization 3DP of personalized drug delivery.

The effective recapitulation of the structure and function of tissues as organoids can help predict patient response for precise drug screening and further personalized drug validation and therapy in a timely manner 148. For example, because patientderived tumor organoids allow a highly cellular repository to recapitulate the essential characteristics of the original native tumors, they can be used as superior models for identifying and testing anticancer drugs or natural medicines 149. To determine the effective drugs to treat glioblastoma, the most common and deadly primary brain malignancy, Chadwick et al. 150 generated 4D cell culture arrays by 3DP with thermo-responsive shape memory polymer for rapid assessment of drug responses in glioblastoma patient-derived organoid-like models. They evaluated drug sensitivity, on-target activity, and synergy in drug combinations. The platform is beneficial for rapid functional drug assessments for future selection of more effective personalized therapies. Curcumin, a polyphenol compound derived from the stems of Curcuma longa, is effective in suppressing various phases of colorectal cancer (CRC) development. Chen et al. 151 designed patientderived organoids of colorectal cancer and developed a nontargeted metabonomic technique to evaluate the inhibitory effect and mechanism of curcumin on CRC organoids. This study provided a strong reference for curcumin as a potential natural drug in treating human-derived CRC-like solid tumors.

# 4.2.3. Microfluidic-combined OOAC for high simulation pharmaceutical analysis

OOAC mimics the physiology and functionality in human organs on a chip and has been claimed to foster a paradigm shift in drug testing and development<sup>152</sup>. It is constructed with the siliconbased organic polymer polydimethylsiloxane and has a compact size and various microchannels for early drug discovery and preclinical screening and testing. Ren et al.<sup>153</sup> reported a "heart-

on-a-chip" platform that combines microgrooves and electrical pulse stimulation to recapitulate the well-aligned structure and synchronous beating of cardiomyocytes, which could provide a high-throughput drug screening platform for preclinical drug development. A bioartificial liver consisting of a surfaceengineered microfluidic silicon chip with microtrenches mimicking hepatic sinusoids has also been reported 154. This artificial liver model can extend 3D primary hepatocyte culture. It allows reliable prediction of in vitro responses, including drug responses and environmental stimulus responses. It has potential applications in various fields such as drug discovery and toxicity testing, and basic pathology and physiology research 155,156. Currently, a more advanced "body-on-a-chip" or "human-on-achip" platform simulates the physiology of the entire human body, which can serve as an alternative model system to replace animal models in drug development and analysis. However, because of the complexity of the human system, some technical challenges should be addressed in the future 157.

Microfluidic chip, also known as "laboratory-on-a-chip", is a rapidly growing versatile technology that precisely manipulates nanoliter volumes (10<sup>-9</sup> to 10<sup>-18</sup> L) of fluids in microchannels. With distinctive features of low consumption of reagents, fast mixing, large specific surface area, high mass, short diffusion distance, and rapid actuation and response, this technology is a powerful tool for pharmaceutical analysis from drug synthesis to drug delivery to drug evaluation <sup>158</sup>. For example, Huang et al. <sup>159</sup> constructed an arrayed geometrically enhanced mixing chip with individual function zones to quickly and accurately evaluate the potency of five drugs. In addition, with the rapid development of digital manufacturing techniques, 3DP-assisted microfluidic chip devices have proved advantageous in improving the efficiency, speed, and control of pharmaceutical analysis <sup>160</sup>.

Microfluidic OOAC systems provide unparalleled independent control over multiple key biological, chemical, molecular, cellular, and mechanical parameters within the intestinal microenvironment, thereby enabling researchers to apply a synthetic biology approach at the cell, tissue, and organ levels that can lead to new insights into intestinal physiology and disease mechanisms. For example, "intestine-on-a-chip" can be used to analyze the molecular processes underlying various enteropathies and to advance the development of new therapies <sup>161</sup>.

### 4.2.4. Omics-based AI for drug effectiveness analysis

AI technology provides opportunities for the design, discovery, and development of innovative drugs in different areas, from peptide synthesis to molecule design, virtual screening to molecular docking, and quantitative structure-activity relationship to drug repositioning 162,163. It mainly includes deep learning and machine learning algorithms for the identification and validation of chemical compounds, target identification, drug monitoring, and drug efficacy and effectiveness assessment 164,165. By exploring the capacity of AI to predict the activity of various synthesized molecules, Polykovskiy et al. 166 demonstrated that AI not only improved procedural accuracy and efficiency but also enabled drug discovery. Although tremendous amount of work is required to incorporate AI tools in the pharmaceutical analysis cycle, it is thought that AI technology will bring revolutionary changes in innovative drug discovery and analysis processes. For example, by combining chemical fingerprint and bioactivity evaluation of S. miltiorrhiza using AI technology by introducing intelligent chemometric methods, the possible antibacterial components of this natural medicinal plant on *Pseudomonas aeruginosa* was rapidly and effectively explored<sup>167</sup>. The AI-assisted fingerprint—activity relationship can act as a powerful bioassay model to identify the bioactive components in natural medicines for omics-based pharmaceutical analysis and quality control.

AI technology can improve the efficiency and accuracy of drug discovery and development, which not only enhances process efficiency but also reduces or eliminates the requirement for clinical trials by conducting simulations, reducing costs and ethical concerns, and heralding a new age for pharmaceutical analysis.

#### 4.3. Quality controllability analysis

Some biotechnologies have been explored in drug quality evaluation due to their advantages in reflecting the overall biological effects of drugs, reflecting the drug quality related to its function, and providing visual and intuitive results. Biological detection methods are the core evaluation methods to study the effectiveness and safety of drugs as well as quality evaluation. For drug quality analysis, there are higher requirements for the sensitivity, accuracy, and throughput of techniques compared with techniques for pharmacological or toxicological research methods. Based on the biological effects of drugs, biotechnologies such as gene/enzyme/cell model organisms, microfluidic chips, and electronic tongues have been used to evaluate the quality of drugs from the perspective of activities to achieve the goal of controlling or evaluating drug quality related to its function. Some representative biological evaluation methods are described in Fig. 7.

### 4.3.1. Molecular authentication for formulation analysis

DNA barcoding technology is a technique that uses standard DNA sequences (200-600 bp) as species identification markers, and usually requires DNA extraction, PCR, sequencing, and sequence analysis steps. Compared with macromolecular techniques such as protein or RNA, the DAN barcoding technique is more stable and accurate. This method has been widely used for the species identification of raw materials of natural products. However, it is difficult to obtain complete DNA barcodes from preparations of natural products because of DNA degradation, at which point DNA mini-barcoding comes into the picture. Compared with DNA barcoding, DNA mini-barcoding uses shorter DNA lengths (<200 bp), which can achieve more efficient extraction and amplification <sup>168,169</sup>. DNA mini-barcoding broadens the application of DNA barcodes and is suitable for evaluating the quality of natural product preparations. An adaptor ligation-mediated PCR protocol was derived to amplify sets of target DNA fragments isolated from Angelica sinensis and Panax notoginseng 170. DNA extracted from A. sinensis and P. notoginseng were ligated with adaptors and amplified by an adaptor primer and a single universal barcode primer to avoid amplification of non-target DNA sequences and obtain partial ITS2 sequences. The results showed that various lengths of DNA fragments within the ITS2 region were amplified and could be used to identify the concerned species. Liu et al. 17 developed a rapid identification method using the RPA assay to detect two species, Ginkgo biloba and Sophora japonica (as adulteration), in Ginkgo folium products. The short region in the ITS2 sequence was amplified to identify S. japonica and the short region in the rbcL sequence was amplified to identify G. biloba.

During the authentication process, the RPA-LFS assay showed a higher specificity, sensitivity, and efficiency than PCR-based methods, demonstrating that this assay can be developed into an efficient tool for the rapid on-site authentication of plant species in *G. biloba* herbal products.

DNA metabarcoding is a technique that uses universal PCR primers to simultaneously amplify multiple DNA barcodes and identify multiple species in a single environmental sample <sup>172</sup>. Metabarcoding and single-molecule, real-time sequencing was used to detect the multiple ingredients in Jiuwei Qianghuo Wan<sup>173</sup>, and the results showed that with the combination of metabarcoding and SMRT sequencing, it is repeatable, reliable, and sensitive enough to detect species in TCM products. Han et al. 174 developed a nucleotide signature based on an SNP site unique to American ginseng and 4F/4R unique to ginseng were designed. Twenty-four batches of ginseng products in Chinese patent medicines marketed in Beijing were tested, and five batches were counterfeit products and two batches were adulterated products. Thus, nucleotide signatures broadened the application of DNA barcoding technology in the identification of ginseng products. The method can rapidly identify ginseng products. Ririe et al. 175 introduced melting curves into DNA analysis in the 1990s. The technique uses melting curves of several species and avoids sequencing. The principle of barcoding high-resolution DNA melting (Bar-HRM)<sup>176</sup> is as follows. The double-stranded DNA used in PCR is bound to a dye. Although the fluorescence is intense when bound to the dye, the fluorescence level is low when released. After PCR, 50-500-bp-long amplicons are gradually denatured by a small temperature increase of 0.01-0.2 °C, and the fluorescent dye is slowly released from the denatured amplicons. The diminishing fluorescence with increasing temperature can be plotted as a melting curve. Bar-HRM has been used to analyze herbal material products such as Rhizoma Paridis<sup>177</sup>.

### 4.3.2. Electronic tongues for pharmaceutical formulation analysis

The term "electronic tongue" simulating the human gustatory system was first reported in 1996<sup>178</sup>. Electronic tongues are devices consisting of arrays of low-selective sensors and using advanced mathematical procedures for signal processing based on multivariate data analysis, principal component analysis (PCA), pattern recognition, and artificial neural networks (ANNs)<sup>179</sup>. A large number of chemical sensors were used in the design of electronic tongue sensor arrays<sup>180</sup>. Specifically, the electronic tongue system has been used for pharmaceutical analysis, such as the investigation of taste-masking efficiency, dissolution measurement, and quality control of drugs, herbal medicine, and medicinal plants for batch-to-batch product reproducibility and determination of active pharmaceutical ingredients (APIs)<sup>181</sup>, 182.

New drug formulations and dosage forms are constantly being introduced with novel pharmaceutical technologies. Artificial sensing systems were thus developed as supplementary analysis methods for pharmaceutical formulations  $^{180}$ . Weitschies et al.  $^{183}$  used a commercial taste-sensing device (TS-5000Z) to evaluate the different taste-masking strategies. The taste-masking efficiency of cyclosporin A (CyA) loaded self-emulsifying drug delivery system and orally disintegrating tablets was evaluated by the  $\alpha$ -Astree electronic tongue  $^{184}$ . Winnicka et al.  $^{185}$  reported a prototype of an electronic tongue for the comparison of microparticles, lyophilisates, and orodispersible tablets loaded with dihydrochloride cetirizine. The taste profiles of three diclofenac

drug formulations could be differentiated using electronic tongues<sup>186</sup>. The Euclidean distances on the PCA plot were highly correlated ( $R^2 = 0.986$ ) with the bitterness intensities of the chemical signals of formulations of different brands<sup>187</sup>.

The electronic tongue systems were also used to differentiate quality variation in natural product preparations. Shakaff et al. 188 developed a multichannel sensor incorporated with an array of the artificial lipid polymer membrane as a fingerprinting device for quality analysis of extracts from different parts, age, batch, and extraction mode of Eurycoma longifolia, with the obtained potentiometric fingerprint profiles. Various taste-masking methodologies and electronic tongue techniques were used in pharmaceutical analysis <sup>189–191</sup>. However, the benefits and drawbacks of electronic tongues for pharmaceutical applications are hardly evaluated because of the variance in the principle of chemical sensors and data processing methodologies. Therefore, the reliability of two commercially available systems (from Insent and AlphaMOS) and four laboratory prototype systems were used for the analysis of the same set of pharmaceutical samples under blind conditions<sup>192</sup>.

As an emerging and promising analysis tool in the area of pharmaceutical products, the electronic tongue has proved to be an important technology to assess and predict the taste of APIs in the pre-clinical development of pharmaceutical candidates as well as to promote the rational design of oral taste-masked dosage forms <sup>186</sup>.

### 4.3.3. Reporter gene transfected cell-based and enzyme-based quality analysis

Reporter genes encode proteins or enzymes that can be easily detected in the context of endogenous proteins<sup>193</sup>. Specifically, a reporter gene is connected with a target gene or regulatory sequence. The activity of the coding product of the reporter gene directly reflects the transcriptional activity or expression level of the gene in the cell<sup>194</sup>. The firefly luciferase reporter gene is a commonly used reporter gene in mammalian cells. Luciferase has high sensitivity and a wide linear detection range of 7–8 orders of magnitude. The enzyme activity can be detected by fluorescence colorimetry; thus, it is suitable for high-throughput screening with high accuracy. It has been gradually recognized and applied in the quality control of herbal medicines.

Professor Cheng's laboratory has established a series of cell lines transfected with luciferase reporter genes related to hormone, endocrine, immune, and inflammation signal pathways, and applied them to the quality evaluation of single herbal medicines<sup>195</sup> and formulation yiv-906<sup>196</sup>, a standardized four-herb formula from a traditional formula "Huang Qin Tang" for some gastrointestinal symptoms, including diarrhea, nausea, and vomiting. This method realizes the purpose of distinguishing yiv-906 and commercial Huangqin Tang preparations, although yiv-906 and commercial Huangqin Tang preparations could not be differentiated with the chemical profile based on LC-MS fingerprints with 77 peak intensities. Therefore, this combined biological evaluation method has more distinguishing ability than the LC-MS fingerprinting method, although LC-MS has high resolution and sensitivity. At the same time, this evaluation method is highly related to the results of pharmacological evaluation in vivo and can reflect the action mechanism of yiv-906. Therefore, this method is also called mechanism-based quality control (MBQC). In addition, enzyme activity assay related to hormone, endocrine, immune, and inflammation signal pathways were used in this MBOC platform  $^{195,196}$ . Chao et al.  $^{197}$  used ER- $\alpha$  promoter and

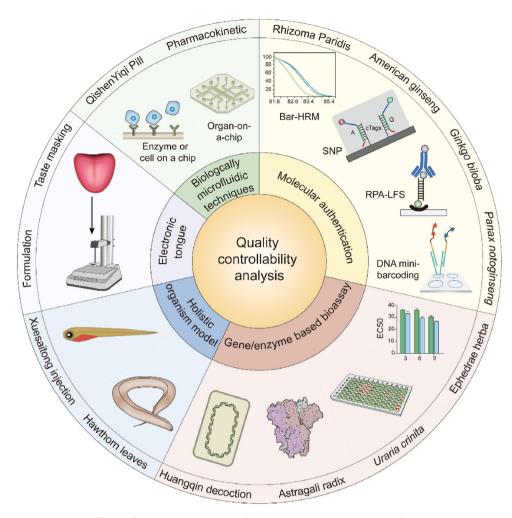


Figure 7 Biotechniques for pharmaceutical quality control analysis.

nuclear factor erythroid-2-related factor (Nrf2) promoter transferred cell lines and COX-2 enzyme inhibitor screening assay to evaluate the quality of *Uraria crinita* processed by three drying methods and different temperatures. The bioassay showed that the biological activity of *U. crinita* oven-dried at 40 °C was the best, which was confirmed by chemical profile analysis that the main active component content in *U. crinita* oven-dried at 40 °C was the highest. These bioassays could be used to assess the effect of drying temperature on *U. crinita* quality. Cell lines stably transfected with luciferase reporter genes related to AP-1, TLR2, ER- $\alpha$ , Nrf2, and AR signal pathways were used to compare different processed Ephedrae Herba<sup>198</sup> and Astragali Radix<sup>199</sup>, and this method can significantly distinguish different processed products. These studies show that bioassays can be used to evaluate differences in quality caused by different processing methods.

Reporter gene assays are suitable for high-throughput analysis with high accuracy and sensitivity, but their repeatability may be affected by the state of transfected cell lines and it is not easy to establish the reference material as a positive control. Furthermore, the bioassay based on the luciferase reporter gene-transfected cell line or enzyme is related to the action mechanism of the drug on signal transduction pathways. The selection of luciferase reporter genes or enzymes can reflect the actual drug efficacy *in vivo*, which may be a combination of different biological activities for TCM with multiple functions.

### 4.3.4. Holistic organism-based quality analysis

Compared with cell lines or enzymes, holistic organisms are intact living organisms that can reflect the synergistic effects of a drug on different parts of an organism to determine its actual quality. Compared with mammals used for conventional pharmacological evaluation, these model organisms are relatively convenient. Therefore, model organisms are routinely used in pharmacological studies and have gradually been introduced to exploratory studies of drug quality evaluation.

The most widely used model organisms in health studies include zebrafish, C. elegans, Drosophila, E. coli, and Saccharomyces<sup>200-203</sup>. In the last decade, large-scale genome sequencing has revealed that human genes are 61% similar to those of Drosophila, 43% similar to those of nematodes, and 46% similar to those of Saccharomyces. As an emerging model organism, zebrafish has a high genomic sequence similarity of 87% to humans<sup>204</sup>. and it has several biological advantages such as in vitro fertilization, embryonic transparency, and easy observation of tissue and organ development under the microscope without dissection<sup>205</sup> Experiments can be performed in cell plates, thus requiring a small amount of samples. Therefore, this model has been recommended as a new alternative animal by the European Centre for the Validation of Alternative Methods 206,207. As a whole animal model, the zebrafish has unique advantages in the screening of pharmacodynamic substances and evaluating the quality of natural drugs with

Techniques	Characteristic	Application
Toxicity analysis		
Network toxicology	Multiple gene and target	Hepatotoxicity analysis of esculentoside A <sup>98</sup>
Transcriptomics	Most widely used; high sensitivity; high resolution	The potential toxic components and hepatotoxic target analysis of vine tea <sup>121</sup>
Proteomics	High sensitivity; high resolution	The toxicities analysis of Herba Lysimachiae <sup>123</sup>
Metabolomics	High sensitivity; high resolution	Toxic components related to drug-induced liver injury of Heshouwu <sup>127</sup>
Mass spectrometry imaging technology	High sensitivity; Visual	The damage to liver cell of acetaminophen <sup>217</sup>
Transgenic animal	Humanized; rapid large-scale screening	Drug reaction analysis of abacavir in HLA mice <sup>103</sup>
Disease model animal	Short life, low cost, and efficient reproduction	The cardiotoxicity analysis of four typical MALs <sup>108</sup>
Immunofluorescence and its derivative	High specificity, sensitivity, and speed	Evaluation the side effects of hydrazide drugs <sup>116</sup>
Flow cytometry Effectiveness analysis	Speed-up, high precision, good accuracy	The cytotoxicity of <i>Drosophila</i> ginsenoside 119
Target-based biosensors	High sensitivity, low cost, excellent practicability	Early identification of designer drugs of abuse, the development of 5-HT2AR-dependent non-hallucinogenic therapeutics <sup>139,141,142</sup>
3D-biological printing organoid	Self-organizing, self-renewing, physiologically relevant, automatic, economic	Dynamic and combinatorial drug screening, personalized drug delivery, assessment in tumor patient-derived organoid-like models <sup>144</sup> ,1 <sup>47</sup> ,1 <sup>50</sup> ,1 <sup>51</sup>
Microfluidic- combined organ- on-a-chip	Low consumption of reagents, rapid actuation and response	Drug potency evaluation, application at the cell, tissue and organ levels, analysis of the molecular process i various enteropathies <sup>153</sup> ,159,218
Omics-based artificial intelligence	Improved the efficiency and accuracy, no requirement of clinical trials with low costs	Discovery of innovative drugs, identification of bioactive components in natural medicines 166,167
Quality controllability a	nalysis	170.174
DNA mini-barcoding DNA meta-barcoding	Accurate for natural products preparation Use universal PCR primers to identify multiple species	Angelica sinensis, Panax notoginseng 170,174 Jiuwei Qianghuo Wan
Single nucleotide polymorphism	Short DNA sequence unique to a species, highly conserved, rapidly	Ginseng products in Chinese patent medicines
DNA melting analysis	Sensitivity, avoids sequencing	Rhizoma Paridis Herrmann <sup>170</sup>
Electronic tongue	Fast and simple	Taste masking
Luciferase reporter transfected cell lines	Highly related to pharmacological effect	Differentiate Huangqin Tang preparations, <i>Uraria</i> crinita, Ephedrae Herba and Astragali Radix
COX-2 inhibitor; iNOS activity	Fast and simple	
Thrombosis model	Easy for observation, rapid and high-throughput, holistic effect	Distinguish XST; Q-marker identification <sup>200</sup>
Oxidative stress	Low number of somatic cells; evolution of each cell	Distinguish Ganoderma lucidum quality
Chip with enzyme/ cells	Automation of operation, more repeatable than cell- based models	Evaluate the quality of Qishen Yiqi Pill from different batches
Intestine/liver/kidney	Reducing time and cost of drug development, high- throughput	Pharmacokinetic parameters 153,154,218

complex composition and unclear pharmacological mechanisms. It may realize the convenient, rapid, and high-throughput screening of pharmacodynamic substances of TCM and establish their biological quality evaluation standard.

Zebrafish larvae with arachidonic acid (AA)-induced thrombus was used to evaluate the antithrombotic effects of Xuesaitong injection (XST) from different batches<sup>208</sup>. The results indicated that XST could significantly and dose-dependently restore the intensity of heart red blood cells in thrombotic zebrafish but decreased red blood cell accumulation in the caudal vein. Using the zebrafish thrombosis model, five abnormal batches of XST were effectively distinguished from 24 normal batches of XST. This finding was confirmed by conventional chemical methods. Therefore, this zebrafish thrombosis model can be used for the

batch-to-batch consistency evaluation of XST. Quality-marker (Q-marker) is an emerging concept to evaluate TCM quality that aims to screen marker compounds that fully reflect the "five principles" 104. Li et al. 209 identified the potential Q-markers of Danhong injection (DHI) using an *in vivo* zebrafish thrombosis model for batch-to-batch quality consistency evaluation. The results showed that rosmarinic acid and *p*-coumaric acid, the main chemical components of DHI, showed moderate antithrombotic effects in a dose-dependent manner and could be used as potential Q-markers to evaluate the quality consistency of DHI. Gao et al. 210 also examined the antithrombotic activity of Hawthorn leaf fractions by platelet aggregation assay and a zebrafish model for antithrombotic drugs. Combined HPLC—QTOF-MS and molecular modeling identified shadyside D and norhawthornoid B as key

components in inhibiting platelet aggregation mainly by acting on the targets of key regulators of antiplatelet aggregation activity (P2Y1 and P2Y12).

C. elegans has also been used in drug quality evaluation. Ping et al. 211 evaluated the effect of Ganoderma lucidum water extracts on oxidative stress resistance, including the five origins of G. lucidum from wild-type and forkhead box O transcription factor mutant, using C. elegans. The results showed significant differences in the effect of G. lucidum on improving resistance to oxidative stress and in the mechanisms by which different origins of G. lucidum improve the ability of nematodes to oxidative stress. This finding suggested the presence of different potent active substances in different origins of G. lucidum and proved that the use of the model organism C. elegans could effectively distinguish the biological activities of G. lucidum from different origins.

### 4.3.5. Biologically microfluidic technologies based-quality control methods

The microfluidic chip or micro total analysis system (uTAS) is a new technology that integrates sampling, pretreatment, reagent addition, reaction, separation, and detection on a microchip. This technology has the advantages of small size, simple operation, low sample usage, fast analysis, and easy automation of operation<sup>212</sup>, and it can be used to perform chemical separation and enzyme and cell analyses<sup>213–215</sup>. The integration of microfluidic chip and enzymes or cells may improve the repeatability of bioassays. Microfluidic chips have been rapidly developed and used in drug quality evaluation. Ho et al. 216 developed a device that can quantify the API content in antimalarial drugs to evaluate their quality. The system consists of two parts, a detection reagent (probe) and a microfluidic platform, and is based on the luminol reaction between the probe and API. Pure and unqualified samples of artesunate (Arsuamoon tablets) were detected using this system, and the accuracy of the quantitative method was confirmed in comparison with the conventional 96-well plate spectrophotometric method. Li et al. 72 reported a multiplex biomarker assay for assessing drug quality using the combination of microfluidics and enzymes. In this study, thrombin and ACE were used to evaluate the quality of Qishen Yiqi Pill. Potency variations were detected in different batches of intermediates and preparations of Qishen Yiqi Pill. The results showed the feasibility of using microfluidic technology for the quality evaluation of Chinese pharmaceutical preparations.

OOAC is more accurate than traditional cell-based models and is expected to replace the animal phase of drug testing to significantly reduce the time and cost of drug development. Researchers have demonstrated a fluid-coupled two-channel microfluidic human OOAC model of the intestine/liver/kidney<sup>205</sup>. The model provides the pharmacokinetic parameters of drug absorption, metabolism, and excretion in humans and predicts pharmacokinetic parameters for oral nicotine and intravenous cisplatin. With further development, this technology can be used to create chips with the combination of different organs to achieve rapid and high-throughput analysis of drugs for quality evaluation (Table 3).

### 5. Summary and prospects

Biotechnology approaches are as important as physical- and chemical-based detection techniques because they can provide information on biological activity and even clinical efficacy and safety of a drug. Chemical technology and biotechnology have their pros and cons: botanicals with identical chemical spectrum may display different biological activities when bioactive

constituents cannot be detected under analytical conditions, and botanicals with different chemical profiles may have the same bioactivity when the phytochemicals responsible for the difference are biologically inert. In the future, biotechnology together with chemical and physical analyses should participate in the whole life cycle of drug quality control.

Bioanalysis is important for pharmaceutical analysis and has been widely used in different areas of pharmaceutical analysis, including qualitative analysis of drugs such as species identification based on herbgenomics<sup>219</sup>, drug safety analysis such as pesticide residue detection, and effectiveness evaluation such as biological evaluation. Biotechnology has the advantages of high sensitivity and specificity, but its popularization is often limited by the complex operations and high costs. It also has the problems of poor repeatability and false-positivity, requiring much research for optimization and improvement.

At present, bioanalytical technology is applied more to biological drugs and less to natural product and synthetic drugs. This might be because most researchers in the field of pharmaceutical analysis have a background in chemistry. The importance of bioassays and their applications are ignored in current pharmaceutical analysis. With the continuous development of basic biology and the subsequent improvement of bioanalytical methods, biotechnology can play a vital role in the overall quality control of natural products and synthetic pharmaceuticals.

#### Acknowledgments

We would like to thank TopEdit (www.topeditsci.com) for linguistic assistance during the preparation of this manuscript. This study was supported by the National Key R&D Program of China (No. 2019YFC1711100, China) and the National Natural Science Foundation of China (No. U1812403-1, China). The author would like to thank Dr. Jiabo Wang, Weijun Kong (School of Traditional Chinese Medicine, Capital Medical University, Beijing, China).

#### **Author contributions**

Shilin Chen conceived, supervised and reviewed the manuscript; Shilin Chen, Zheng Li, Sanyin Zhang, Yuxin Zhou, Xiaohe Xiao, Pengdi Cui, Binjie Xu, Yuntao Dai, Qinghe Zhao and Shasha Kong wrote the manuscript. Yuntao Dai conceived and reviewed the manuscript. All of the authors have read and approved the final manuscript.

#### **Conflicts of interest**

The authors declare no conflicts of interest.

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